Using an LQR active anti-roll bar system to improve road safety of tractor semi-trailers

Vu Van Tan*, Nguyen Duy Hung

INTRODUCTION

Road traffic accidents are one of the biggest causes of death, injuries, and public health impacts worldwide. It is worth noting that most of the victims were in good health just before the traffic accidents. According to the World Health Organization (WHO), more than one million people die globally each year, and over 50 million are injured in traffic accidents. The statistics also show that traffic accidents are also the highest cause of death for children aged from 10 to 19 years old. In Vietnam, according to statistics of the National Traffic Safety Committee, there are 14,000 people die from traffic accidents every year with the age of most affected people between 15 and 49 years old. As of February 2020, there are about 3.5 million vehicles in circulation nationwide, while the quality of all types of vehicles has a high degree of variation. According to the latest WHO’s reports related to traffic safety in Vietnam, they point out that there are still some regulations that do not follow the highest quality standards or that there are not strong enough penalties for non-conformity.

Road traffic accidents can occur with any vehicle, such as cars, buses, tractor semi-trailers, however, tractor semi-trailer-related accidents often have the most serious consequences for deaths and damage to infrastructure. Due to the height of the center of gravity and the heavy total load, while its width is limited to a maximum of 2.5m, the risk of rollover accidents for this type of vehicle type is very high, the possible reasons include adverse weather conditions, sudden braking maneuvers, avoidance of an obstacle, driver error, excess speed, jack-knifing, load, road design, suspension systems. Currently, the passive Anti-Roll Bar (ARB) system is used on most tractor semi-trailers in order to improve the vehicle roll stability. However, theoretical and experimental research results have shown that in emergency situations, the passive ARB system does not overcome the rollover moment caused by the lateral inertia force. In order to overcome the disadvantages of the passive ARB system in avoiding rollover phenomenon, a number of active safety systems have been studied and applied in actual vehicles such as active suspension, active ARB system, active braking, and active steering. Among the above-mentioned systems, the most effective solution is the active ARB system.

The research on controlling the active ARB system for heavy vehicles in general and the tractor semi-trailer, in particular, was carried out most prominently by a research team at the University of Cambridge in the United Kingdom. In the study of Arnaud J.P. Miège, the author used the PID control method for a roll model with the goal of reducing the roll angle of the vehicle.

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Methods: The Linear Quadratic Regulator (LQR) approach is used for this purpose with the control signal being the torque generated by the active anti-roll bar system. In order to synthesize the controller, the roll angle of the vehicle body and the normalized load transfer at all axles of the tractor semi-trailer vehicle are considered as the optimal goals.

Results: The simulation results in time and frequency domains clearly show the effectiveness of the proposed method for the active anti-roll bar system, because the reduction of the desired criteria is about 40% less when compared to a vehicle using the passive anti-roll bar system.

Conclusions: The effectiveness of the active anti-roll bar system on improving the vehicle roll stability, has been verified in this theoretical study with the LQR optimal controller. This is an important basis for conducting more in-depth studies and future experiments.

Key words: Tractor semi-trailer, Road safety, Roll stability, Active anti-roll bar system, Rollover
vehicle’s body. In 4, the authors proposed a semi-active ARB system by combining active and passive systems. The basic principle of this method is that when a vehicle moves around a right bend (curve) and the low damping setting is confirmed, so the vehicle starts to roll outward. If later the selection of the high damping is made, the roll outward will be locked. In 6,7, a state feedback roll control system was designed for a tractor semi-trailer using a flexible frame, which allowed a more accurate assessment of the advantages of this system. In 8, an empirical research by the PID control method was embedded on an actual tractor semi-trailer. The control signal in this model is the spool valve displacement; the author had controlled the active ARB system to improve the vehicle roll stability. However, this experiment only shows the interaction of the vehicle in the immediate response at each axle and does not consider the dynamics of the whole vehicle. Although previous studies have shown the efficiency of the active anti-roll bar system on the articulated heavy vehicles, the optimal control method has not been designed to directly optimize the criteria for evaluating the vehicle roll stability, and the comparison results with the passive system mainly appear in the time domain or in stable driving mode.

The outstanding contributions of this study are as follows:
- Building a Yaw-Roll model of a tractor semi-trailer with a total load of about 40 tons. The tractor consists of two single axles, and the three rear axles on the semi-trailer are considered as an axle in the middle.
- Designing an LQR controller to enhance the roll stability of the tractor semi-trailer, in which the normalized load transfers at all axles and the body’s roll angle are the desired criteria to minimize.
- The simulation results in time and frequency domains clearly show the efficiency of the LQR active ARB system in enhancing the roll stability. Specifically, the reduction of the amplitude of the signals is about 40% less compared to the passive system.

The paper structure consists of five sections: Section 1 introduces the concept of vehicle roll stability, as well as the major contributions of the study. Section 2 presents the Yaw-Roll model of a tractor semi-trailer with the active ARB system on all axles. The LQR controller is synthesized to improve the roll stability of the tractor semi-trailer as shown in section 3. Section 4 evaluates the simulation results on the time and frequency domains. Finally, section 5 highlights some conclusions and perspectives.

**VEHICLE MODELLING OF TRACTOR SEMI-TRAILER**

**Yaw-Roll model of a tractor semi-trailer vehicle**

Figure 1 illustrates a tractor semi-trailer combination, which consists of a triple axle tanker semi-trailer linked to a two axle tractor through a fifth wheel coupling. We consider the vehicle model with the lateral, yaw and roll dynamics, for studying of the rollover phenomenon. This is a linear model with the forward velocity considered constant in motion situations. It means that the forward velocity is not a state variable of the system but simply a parameter. The mathematical model of the tractor takes into consideration the flexible frame to consider the effect of the transfer between the two axles, while for simplicity this study does not consider the torsional frame of the semi-trailer (rigid frame model). The parameters of the tractor and semi-trailer are shown in Appendix 9.

By denoting the semi-trailer with the subscript "2", the tractor with the subscript "1", the rear axle with the subscript "r", the front axle with the subscript "f", the dynamical equation of the vehicle model is presented in equations from (1) to (12) 9.

- The dynamical equations of motion for the tractor are

\[
\begin{align*}
\delta N_{h,1} + & N_{h,1} + \beta_1 N_{h,1} \\
- F_{c,1} \dot{b}_{r,1} + k_{w,1} (\psi_2 - \psi_1) \\
= & \psi_1 \dot{e}_r - \dot{\phi}_r + I_e \ddot{e}_r - \dot{\phi}_e \dot{e}_r, \\
\end{align*}
\]

\[
\begin{align*}
I_{f,1} (\dot{\phi}_r - \dot{\phi}_f) + k_{f,1} (\dot{\psi}_f - \dot{\phi}_f) \\
+ h_{b,1} (\dot{\phi}_r - \dot{\phi}_f) + k_{b,1} (\dot{\phi}_r - \dot{\phi}_f) \\
= & -h_{f,1} (\beta_1 + \psi_1) m_{r,1} \dot{V} + h_{f,1} \dot{\phi}_f + m_{f,1} \dot{g} \\
- h_{b,1} F_{b,1} + T_f = & -\psi_1 \dot{e}_r - \phi_{r,1} \dot{e}_r, \\
\end{align*}
\]
The kinematic constraint at the vehicle coupling is described by

\begin{align}
I_{f,1} \cdot \left( \phi_{t,f,1} - \phi_{f,1} \right) + k_{f,1} \cdot \left( \phi_{t,f,1} - \phi_{f,1} \right) + h_{b,1} \cdot \left( \phi_{r,1} - \phi_{f,1} \right) + h_{b,1} \cdot \left( \phi_{r,1} - \phi_{f,1} \right) - h_{f,1} \cdot \left( v + h_{f,1} \cdot \phi_{f,1} \right) - h_{b,1} \cdot F_{b,1} + T_f &= - \psi_1 \cdot I_{f,1} \cdot \phi_{r,f,1} + \phi_{f,1} \cdot I_{f,1} \cdot \phi_{r,f,1} \tag{3}
\end{align}

- Figure 2: A tractor semi-trailer vehicle model: a) Longitudinal direction, b) Roll direction, c) Yaw direction.
here the state vector

\[
\begin{align*}
F_{c,1} &= - \left( \psi_1 V + \beta_1 V + \beta_1 V \right) m_1 \\
&+ \delta_1 \delta_{1,1} - h_{r,1} \phi_{r,1} m_{r,1} \\
&- h_{f,1} \phi_{f,1} m_{f,1} + \beta_1 Y_{\beta,1} + \psi_1 Y_{\psi,1} \\
F_{b,1} &= - \left( \beta_2 V + \psi_2 V + \beta_1 V \right) m_{f,1} \\
&- h_{f,1} \phi_{f,1} m_{f,1} + \beta_1 Y_{\beta,1} + \psi_2 Y_{\psi,1} \\
&+ \left( \beta_2 Y_{\beta,1} + \delta_1 \delta_{1,1} + \psi_1 Y_{\psi,1} \right) \\
\end{align*}
\]

The system’s states includes both the tractor and semi-trailer, of which the tractor consists of eight variables: the sprung mass’s roll angle and roll rates above the front and rear axles (\( \phi_{f,1}, \phi_{r,1} \)), the sideslip angle and the yaw rate (\( \beta_1, \psi_1 \)), the unsprung mass’s roll angles at the front and rear axes (\( \phi_{f,1}, \phi_{r,1} \)); the semi-trailer has five variables: the sprung mass’s roll angle and roll rate (\( \phi_2, \psi_2 \)), the sideslip angle and the yaw rate (\( \beta_2, \psi_2 \)), and the unsprung mass’s roll angle (\( \phi_{r,2} \)). The active ARB system is arranged in all the axles, the torque control of this system generated on the two axles of the tractor is denoted \( T_{f, r} \), while \( T_{r,1} \) is the torque at the rear axle of the semi-trailer. This model ignores the excitation from the road surface and does not consider lateral wind, etc. so the only disturbance here is the steering angle \( \delta \).

The dynamical equation of the vehicle model is written in a state-space representation (13):

\[
\begin{align*}
\dot{x} &= Ax + B_1 w + B_2 u \\
y &= Cx + D_1 w + D_2 u
\end{align*}
\]

where the state vector

\[
x = \begin{bmatrix} \phi_{f,1} & \phi_{r,1} & \beta_1 & \psi_1 & \phi_{f,1} & \phi_{r,1} & \beta_2 & \psi_2 & \phi_{r,2} \end{bmatrix}^T
\]

, the active torque control vector \( u \) = \( \begin{bmatrix} T_f & T_r & T_{r,1} \end{bmatrix}^T \), the disturbance \( w = [\delta] \), and \( A, B_{1,2}, C, D_{1,2} \) are the matrices.

**Performance criteria**

The goal of the active ARB system is to improve the vehicle’s roll stability thus preventing rollover in emergency situations. Equation (14) is the normalized load transfer (\( R_t \)) commonly used to assess the rollover phenomenon. The vehicle is considered to ensure the roll stability when the value of \( R_t \) does not exceed the limit of \( \pm 19^{10} \).

\[
R_t = \frac{\Delta F_{c,i}}{F_{cat}}
\]

where \( F_{c,i} \) is the total axle load and \( \Delta F_{c,i} \) are the lateral load transfer at one axle.

When a wheel starts to lift off from the road (loss of wheel to road contact), the value of \( R_t \) will exceed \( \pm 1 \), which means that the rollover phenomenon starts to occur. In addition to the above criteria, the limit of lateral acceleration (\( a_s<0.5g \)) and the roll angle of the suspension on each axle (7-8deg) should be minimized, however in this study, these two criteria have not been considered (9).

**OPTIMAL CONTROLLER DESIGN FOR AN ACTIVE ANTI-ROLL BAR SYSTEM**

**Background on LQR control**

Equation (13) is a linear time invariant system model that characterizes the vehicle dynamics equation. We consider the full state feedback control problem and assume that all variables in the state vector can be measured by sensors or estimated, so the control vector \( u \) has a general form in Equation (15) with \( K \) being the state feedback gain matrix.

\[
u = -Kx
\]

The optimization process is specifically expressed in defining the control input vector \( u \) to minimize the performance index \( J \) expressed in Equation (16). Note that this index includes the performance characteristics that need to be minimized, as well as input control limitations to avoid system’s saturation.

\[
J = \int_0^\infty (x^T Q x + u^T R u + 2x^T N u) dt
\]
where \( Q, R, \) and \( N \) are positive definite weighting matrices. The optimal problem must be ensured that the LTI system (13) is stabilizable with the optimal controller. The state feedback gain matrix \( K \) is determined in the following equation\(^{12} \):

\[
R^{-1}B^TP = K
\tag{17}
\]

where the matrix \( P \) is not randomly selected but the solution of the Riccati algebraic equation:

\[
A^TP + PA + Q - PB^TR^{-1}B^TP = 0
\tag{18}
\]

By combining equations (17) and (15) into equation (13), the optimal closed-loop system is rewritten in compact form as follows:

\[
\dot{x} = (A - B_2K)x + B_1w
\tag{19}
\]

**Remark 1:** The choice of the control input \( u \) and the state vector \( x \) will greatly affect the identification of matrices \( Q, R, N \).

**Active anti-roll bar LQR controller design**

As stated above, the main purpose of the LQR controller design is to improve the vehicle’s roll stability. Two variables that need to be minimized are the roll angles \( \phi_i \) and the normalized load transfers at all axles \( T_j \). In addition, in order to avoid the saturation of the actuators, the magnitude of the torque control \( (T_f, T_r, T_{14}) \) also needs to be minimized. Therefore, the performance index \( J \) is selected as follows:

\[
J = \int_0^\infty \left( \rho_1\phi_1^2 + \rho_2\phi_1^2 + \rho_3\phi_2^2 + \rho_4T_f^2 + \rho_5T_r^2 + \rho_6T_{14}^2 \right) dt
\tag{20}
\]

where \( \rho_1, \rho_2, \rho_3, \rho_4, \rho_5, \rho_6 \) are called the weighting parameters of the performance index \( J \).

The selection of the value of the weighting parameters is entirely dependent on the design purpose. When we want to increase the optimization level of a signal, we increase the value of that weighting parameter. From Equation (20), if we increase a lot of \( \rho_i \) values, the controller will focus on improving the vehicle roll stability, if the \( \lambda_i \) values are increased, it will be directed to the protection of the actuator to avoid overcoming its physical limitation.

**SIMULATION RESULTS ANALYSIS**

In this section, the forward velocity is considered constant at 60 km/h. The simulation results are shown in both frequency and time domains for the tractor semi-trailer vehicle using a full-state feedback LQR active ARB controller. The vehicle using the active ARB system is denoted by the continuous line, and the passive ARB system by the dashed line.

**Analysis in frequency domain**

Figure 3 shows the frequency response of the important signals to evaluate vehicle roll stability, namely the roll angles of the tractor and semi-trailer, and the normalized load transfers at all axles. In particular, the authors use the transfer function magnitude from the steering angle. Figure 3 a,b show the transfer function magnitude from the steering angle to the roll angle of the sprung mass of the tractor and semi-trailer; Figure 3 c,d,e show the transfer function magnitude from the steering angle to the normalized load transfers at all axles; meanwhile, Figure 3 f shows the transfer function magnitude from the steering angle to the torque control at three axles. For this system, the steering angle being the only excitation, the frequency range is considered up to 4 rad/s, since it is specific to the driver’s bandwidth frequency\(^{13} \). We find that when compared to a vehicle using the passive ARB system, the active ARB system with an LQR controller has reduced the roll angle of the tractor’s body about 6 dB, the semi-trailer reduction is about 5 dB. Meanwhile, the reduction of the normalized load transfers at the front and rear axles of the tractor are about 4 dB and 5 dB, the semi-trailer is 6 dB, respectively. In addition, Figure 3 f also clearly shows the torque control at the three axles from the active ARB system.

From the simulation results in the frequency domain, we can conclude that the tractor semi-trailer using the LQR active ARB system has improved the vehicle roll stability.

**Analysis in time domain**

In this section, we consider an avoiding obstacle scenario, with the specific concept of a Double Lane Change (DLC) in order to better assess the ability to improve the roll stability of the tractor semi-trailer using LQR active ARB control system. The steering angle in the DLC scenario is shown in Figure 4\(^{14,15} \).

Figure 5 presents the time responses of the roll angles and the normalized load transfers of both the tractor and the semi-trailer, as well as the torque controls generated from the actuators of the active ARB system on all axles. In the case of using the active ARB system, the roll angle of the tractor is reduced about 50% and 40% for the semi-trailer, as shown in Figure 5 a, b. The vehicle roll stability effect is clearly shown for the normalised load transfers of the axles, as shown in Figures 5c,d,e. For the tractor, the normalised load transfers are reduced about 50%, 60% for the front and rear axles, respectively. Meanwhile,
for the semi-trailer, the normalised load transfer is reduced about 40% when compared to the vehicle using the passive ARB system. Moreover, for the tractor semi-trailer using the passive ARB system, all three axles are lifted off from the road (the absolute value of $R_i$ exceeds 1), but at this speed of 60 km/h, the tractor semi-trailer using the active ARB system with an LQR controller still ensures good roll stability because the absolute value of $R_i$ is still less than 1.

The survey results when changing the speed with the situation of an avoiding obstacle DLC, indicate that with the passive ARB system, the tractor semi-trailer starts to be unstable when the speed is 38 km/h, while if using the LQR active ARB system with an LQR controller, the rollover phenomenon will occur when the speed is 61 km/h. Thus, the tractor semi-trailer using an active ARB system which has significantly improved the vehicle roll stability ability, and thereby preventing accidents at increased vehicle speed.
CONCLUSION

This paper presents the study of the active ARB system for a tractor semi-trailer by the optimal control method LQR, with the consideration of roll angle and normalized load transfer at all axles as the optimal target. The controller design using the general vehicle dynamic equations is a new direction compared to previous studies on this type of vehicle. The simulation results in time and frequency domains have shown the efficiency of reducing the amplitude value of the criteria when using the active ARB system about 40%, when compared to the tractor semi-trailer using the passive ARB system. This result shows that the active ARB system is an effective solution to improve vehicle roll stability, especially for heavy tractor semi-trailer type vehicles.

The next research direction of this study is to consider the changing parameters such as the vehicle velocity and the total load, or the application of advanced control methods such as robust control and LPV control method.

LIST OF ABBREVIATIONS

ARB: Anti-Roll Bar
DLC: Double Lane Change
LQR: Linear Quadratic Regulator
LTI: Linear Time Invariant
PID: Proportional Integral Derivative
WHO: World Health Organization

COMPETING INTERESTS

The authors declare that they have no competing interests.

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APPENDIX

REFERENCES

<table>
<thead>
<tr>
<th>Symbols</th>
<th>Description</th>
<th>Value</th>
<th>Unit</th>
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<tr>
<td>$m_{s,1}$</td>
<td>Sprung mass of Tractor</td>
<td>8828</td>
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<td>$m_t$</td>
<td>The total Tractor mass</td>
<td>10534</td>
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<td>$V$</td>
<td>Forward velocity</td>
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<td>$b_{f,1}$</td>
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Table 2: Semi-Trailer’s parameters

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<td>(I_{z'z'})</td>
<td>Yaw moment of inertia of sprung mass on the Semitrailer</td>
<td>223625</td>
<td>Kgm²</td>
</tr>
<tr>
<td>(a_2)</td>
<td>Length of yaw of the coupling point from the rear axle on the Semitrailer</td>
<td>7.7</td>
<td>m</td>
</tr>
<tr>
<td>(b_{f,2})</td>
<td>Length of yaw of the coupling point from the Center on the Semitrailer</td>
<td>5.494</td>
<td>m</td>
</tr>
<tr>
<td>(b_{r,2})</td>
<td>Length of roll of the coupling point from the Center on the Semitrailer</td>
<td>6.236</td>
<td>m</td>
</tr>
</tbody>
</table>


