

# Assessing the vulnerability to flood hazards of households in Phong Nha town, Bo Trach district, Quang Binh province

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## ABSTRACT

This study focused on assessing the vulnerability of households to floods in Phong Nha town, Bo Trach district, Quang Binh province. A set of 23 indicators for assessing vulnerability to floods was proposed based on three components: exposure, sensitivity, and adaptive capacity. The study area, famous for the Phong Nha-Ke Bang World Heritage Site, has been severely affected by floods. A substantial 81% of households experienced flood damage in 5 recent years from 2019-2023. In particular, the October 2020 flood devastated 85% of households in the town. The results showed that 2/10 of the residential groups (Tram Me and Cu Lac 1) in Phong Nha town exhibited high vulnerability to floods, with values of 0.61 and 0.65, respectively, on a scale of 0-1, and there was a medium vulnerability for the remaining areas. The residential groups of Tram Me and Cu Lac 1 were recognized as having significant damage from previous floods but inactively participated in disaster prevention training and property insurance, especially since Tram Me is the most difficult-to-access emergency medical station. The exposure, sensitivity, and adaptive capacity values of Phong Nha town were 0.69, 0.58, and 0.56, respectively, on a scale of 0-1. The vulnerability of households in Phong Nha town to flood hazards was 0.57, indicating a medium level of vulnerability. The findings of this research revealed the medium and high vulnerability to floods on the local scale of the residential groups of Phong Nha town, which provided a basis for managers to strengthen measures to improve local people's adaptive capacity to floods.

**Key words:** flood, vulnerability, adaptive capacity, Phong Nha, Quang Binh

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## 1 INTRODUCTION

Climate change projections have indicated a significant increase in the frequency and intensity of extreme weather events, such as floods, droughts, and typhoons (Intergovernmental Panel on Climate Change - IPCC, 2022)<sup>1</sup>. Floods can cause serious impacts on human life and infrastructure in widespread areas. In particular, urban regions are highly vulnerable to floods because of crowded populations and infrastructure density (Chang and Franczyk, 2008)<sup>2</sup>. The vulnerability assessment of floods is the foundation for supporting the identification of immediate and long-term plans and solutions for proactive disaster reduction activities; thus, flood vulnerability assessment has been a great concern for millions of researchers (Hadipour et al., 2020, Jamshed et al., 2020, Van et al., 2022)<sup>3-5</sup>. Abundant research has recently focused on flood vulnerability assessment using indicator-based approaches. Jamshed et al. (2020) assessed how distance to cities influences the vulnerability of communities to flood hazards based on vulnerability indices measured by 325 household surveys<sup>4</sup>. Hadipour et al. (2020) evaluated the social vulnerability of coastal areas in Banda Abbas, Iran,

to sea level rise and flooding using an indicator set of 3 components—exposure, sensitivity, and adaptive capacity—and then used the analytic hierarchy process (AHP) method for weighting indicators<sup>3</sup>. Van et al. (2022) assessed flood vulnerability using an indicator set for 4 social, economic, environmental, and physical dimensions according to the IPCC method comprising 3 components: exposure, susceptibility, and resilience<sup>5</sup>.

Vietnam is considered one of the countries most adversely affected by floods. It was estimated that as of 2010, approximately 930,000 people in Vietnam were exposed to flood risk, with total annual losses from flooding of approximately 2.6 billion USD (World Bank, 2018)<sup>6</sup>. The Quang Binh Province, which is located in central Vietnam, experiences frequent floods each year. In the October 2010 flood event, Quang Binh suffered 74 deaths, 210 injuries, 188,628 flooded facilities, 9,019 ha of submerged and damaged agricultural land, and other widespread damage (Luu et al., 2020)<sup>7</sup>. According to the United Nations Institute for Training and Research (UNITAR) (2020)<sup>8</sup>, Quang Binh Province suffered severe flooding in October 2020, with an inundated area of 420 km<sup>2</sup> and

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49 approximately 160,000 people affected. Phong Nha  
50 town is located in the mountainous area west of Bo  
51 Trach district, Quang Binh Province, and is home to  
52 Phong Nha Cave in Phong Nha - Ke Bang National  
53 Park, which UNESCO recognizes as a World Heritage  
54 Site. This severe flooding in October 2020 also af-  
55 fected various social activities, caused landslides, and  
56 negatively impacted the community, infrastructure,  
57 economic activities, ecosystems, and biodiversity in  
58 Phong Nha Town (Phong Nha Town People’s Com-  
59 mittee, 2019-2023)<sup>9</sup>.

60 Flood vulnerability assessment research in Vietnam,  
61 particularly in coastal areas, has recently emerged.  
62 Luu et al. (2020)<sup>7</sup> proposed a flood risk assessment  
63 framework for Quang Binh Province based on his-  
64 torical flood data, exposure data, and vulnerability  
65 data and revealed total inundation areas of 64,348  
66 ha and 63.3% of the total area at very and extremely  
67 high flood risk, respectively. Nguyen et al. (2022)  
68 applied an indicator-based approach to evaluate the  
69 flood vulnerability index in Hoi An city<sup>10</sup>. The results  
70 show that key factors include the number of organiza-  
71 tions involved in disaster prevention and historic site  
72 preservation, road density, historical sites, flood fre-  
73 quency, average elevation, and poor households. An  
74 et al. (2022) evaluated flood vulnerability at a local  
75 scale by a GIS-based approach in Da Nang city using  
76 the criteria of 3 components—exposure, sensitivity,  
77 and adaptive capacity—and concluded that more than  
78 60% of the region had moderate or high vulnerability  
79 to floods<sup>11</sup>. Nhuan et al. (2016) also proposed 17 in-  
80 dicators for quantifying the adaptive capacity of urban  
81 households in Da Nang city. Ha et al. (2023) assessed  
82 the flood risk in Quang Binh Province based on so-  
83 cioeconomic vulnerability indicators, including pop-  
84 ulation density, poverty rate, industrial facility den-  
85 sity, service and commercial facility density, and road  
86 density<sup>12</sup>. However, research on flood vulnerability  
87 at the local scale in Phong Nha town is still limited.

88 This study conducted a flood vulnerability assessment  
89 of households using an indicator-based approach in  
90 Phong Nha town in 5 recent years (2019-2023)<sup>9</sup>. The  
91 indicators were measured using survey questionnaires  
92 and reports/information from the People’s Committee  
93 of Phong Nha Town. The findings of this research will  
94 fill this critical knowledge gap on the vulnerability of  
95 Phong Nha town, providing valuable data and insights  
96 for informed decision-making and empowering local  
97 authorities to proactively implement effective disaster  
98 preparedness and response plans.

STUDY AREA

100 Phong Nha town is located in the Bo Trach district,  
101 Quang Binh Province (Figure 1). The study area is  
102 40 km northwest of Dong Hoi city and 30 km north-  
103 west of the district center and has a natural area of  
104 9,947.56 hectares, including 3,354 households and a  
105 population of 13,104 people, with over 40% of the  
106 population being Catholic. There are 09 residential  
107 groups, namely, Gia Tinh, Xuan Son, Na, Tram Me,  
108 Phong Nha, Ha Loi, Xuan Tien, Cu Lac 1, Cu Lac 2,  
109 and 01 Rao Con (generally called Ban Rao Con), with  
110 ethnic minorities accounting for 1.5% of the entire  
111 town’s population (Phong Nha Town People’s Com-  
112 mittee, 2019-2023)<sup>9</sup>.

METHODS

Indicator set establishment for flood vulnerability assessment

116 The flood vulnerability assessment in this study was  
117 implemented based on the results of 23 proposed in-  
118 dicators, as shown in Table 1. These indicators be-  
119 long to 3 components, namely, exposure (E,7 indica-  
120 tors), sensitivity (S, 7 indicators), and adaptive capac-  
121 ity (AC, 9 indicators), of the IPCC (2007) approach<sup>13</sup>.  
122 The indicators were proposed according to modifica-  
123 tion of the published indicators for suitability of the  
124 practical data and information of the study area.

Sociological investigation

126 The sociological investigation of the study area was  
127 conducted with in-depth and semistructured inter-  
128 views using questionnaires in October 2023 and April  
129 2024. A total of 200 households (20 questionnaires  
130 per residential group of the town) were surveyed via  
131 semistructured interviews. Additionally, the in-depth  
132 investigation was implemented with an environmen-  
133 tal officer and five town officials from Phong Nha.  
134 This survey aimed to identify and assess factors that  
135 contribute to or exacerbate flood exposure, to identify  
136 individuals or groups sensitive to floods, to evaluate  
137 the adaptability of the local population and to provide  
138 input data sources for calculating vulnerability indica-  
139 tors for each residential group and all of Phong Nha  
140 town. The interviewed households were evenly dis-  
141 tributed across the whole town based on the calcula-  
142 tion of sample size using the following equation:

$$Sample\ size = \frac{z^2 \times p(1-p)}{e^2} \div \left( 1 + \frac{z^2 \times p(1-p)}{e^2 N} \right) \quad (1)$$

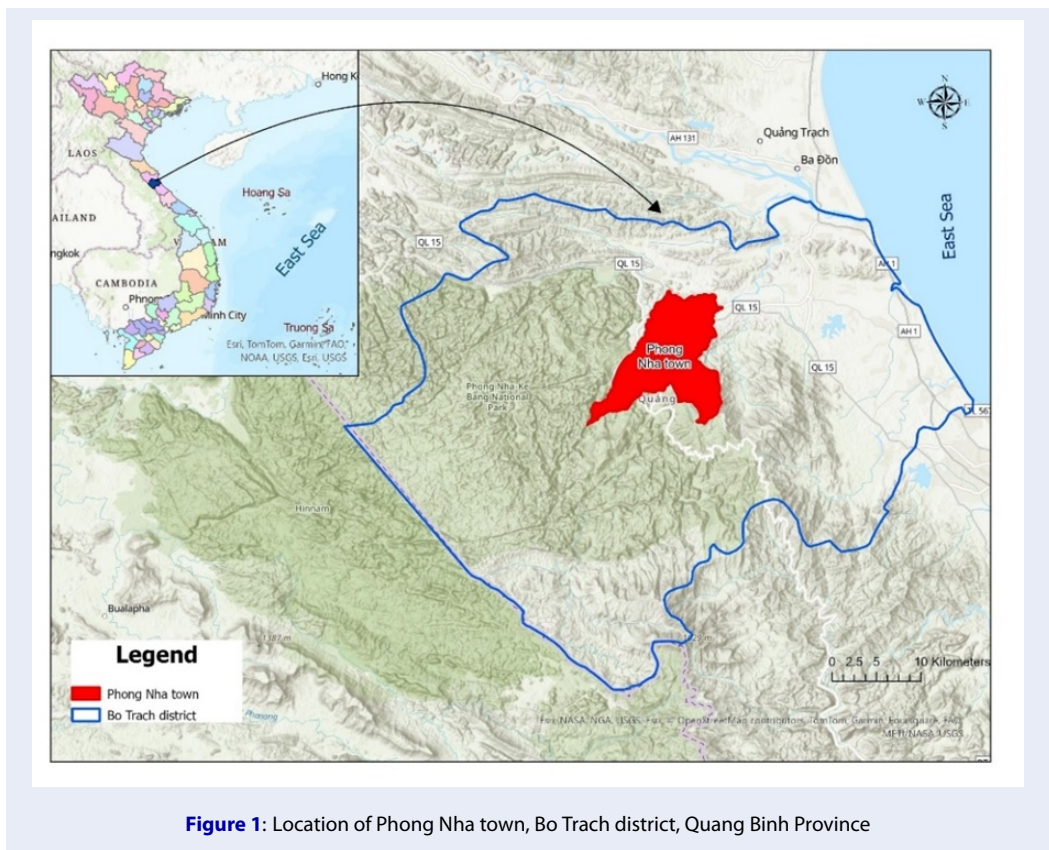


Figure 1: Location of Phong Nha town, Bo Trach district, Quang Binh Province

143 where N is the population of the study area; e is the  
144 margin of error of 5.06%; and z is the z score at the  
145 sampling confidence level (p) of 85%.

**Table 1: Indicator set for the vulnerability assessment of flood hazards**

Dimensi	Indicator	Description	Normalization	Code	Reference	
Exposur (E)	Flood frequency	Number of floods impacting households in 5 recent years	Eq. 2	E1	Kablan et al., 2017 <sup>14</sup>	
	Distance to the main river	Distance from households to Son River (km)	0: >9 km 0.25: 6-9 km 0.5: 3- 6 km 0.75: 1-3 km 1: <1 km	E2	Shi et al., 2019 <sup>15</sup>	
	Affected households by flood	Households impacted by flood in 5 recent years	0: not affected 1: affected	E3	Pathak et al., 2020 <sup>16</sup>	
	Population density	Number of people per km <sup>2</sup> (people/km2)	Eq. 2	E4	Bigi et al., 2021 <sup>17</sup>	
	Damaged households by flood in October 2020		Collapsed houses in floods in October 2020	0: not flooded 1/3: flooded below 1 meter 2/3: flooded from 1-3 m 1: flooded over 3 m	E5	Pathak et al., 2020 <sup>16</sup>
			Households lack clean water in floods in October 2020	0: no lack of clean water 1: lacking clean water	E6	
			Animal deaths from livestock in floods in October 202	0: no animal death 0.25: 1-20 animal deaths 0.5: 21- 40 animal deaths 0.75: 41-60 animal deaths 1: > 60 animal deaths	E7	
Sensitivi (S)	Vulnerable groups	Children under five years old and those above 65 years old	0 is not available 1/3: 1 person 2/3: 2 people 1: 3 people or more	S1	Bigi et al., 2021 <sup>17</sup>	
		Households having a third child	0: no third child 1: have at least a third child	S2	-	
		Percentage of foreigners (%)	Calculation %	S3	Bigi et al., 2021 <sup>17</sup>	
		Percentage of females (%)	Calculation %	S4	Bigi et al., 2021 <sup>17</sup>	
	Livelihood impacts	Number of households involved in agriculture	0: no involvement in agriculture 1: involving agriculture	S5	Pathak et al., 2020 <sup>16</sup>	
	Income	Household income lower than the basic income of Vietnamese (Vietnam dongs)	Eq.2	S6	Kirby et al., 2019 <sup>18</sup>	
	House types	Households possessing temporary and 1-floor houses	0: a temporary house 0.5: semipermanent houses 1: house with 2 floors or more	S7	Bigi et al., 2021 <sup>17</sup>	

Continued on next page

Table 1 continued

Adaptive capacity (AC)	Income source diversity	Number of livelihood types of households	0 is 1 livelihood 0.5 is 2 livelihoods 1 is 3 livelihoods	AC1	Nhuan et al., 2016 <sup>19</sup>
	Training participation	Households participating in training courses on disaster	0: no participation 1/3: participation more than once a year 2/3: participation every 12 months 1: participation less than every 6 months	AC2	Nhuan et al., 2016 <sup>19</sup>
	Preparedness for proactive response	Number of tools equipped by households (e.g., medicine, water & food storage, flashlight, emergency contact...)	0: fewer than 3 tools 1: having 3 or more tools	AC3	Bigi et al., 2021 <sup>17</sup> ; Nhuan et al., 2016 <sup>19</sup>
	Insurance participation	Households participating in health insurance	0: no participation 1: participation	AC4	Bigi et al. <sup>17</sup> , 2021;
		Households participating in property insurance	0: no participation 1: participation	AC5	
	Education level	People with secondary education or higher	0: below secondary school level 1: having a secondary degree or higher	AC6	Nhuan et al., 2016 <sup>19</sup>
	Experience	Number of floods the households witnessed in 5 years	0: no experience 1/3: witnessed 1-3 floods 2/3: witnessed 4-6 floods 3/3: witnessed over 6 floods	AC7	Bigi et al., 2021 <sup>17</sup>
	Emergency medical access	Distance from households to the medical area (km)	0: >15 km 0.25: 10-15 km 0.5: 5- 10 km 0.75: 1-5 km 1: there is a medical area	AC8	Aroca et al., 2017 <sup>20</sup>
	Warning information access	Households listening to Vietnamese voice radio and television	0: no radio and television 1: either a radio or a television, or there is both	AC9	Rana et al., 2018 <sup>21</sup>

Note: the data sources of E1-E3, E5-E7, S1-S2, S5-S7, and AC1-AC9 were obtained from the questionnaire; E4 and S3-S4 were obtained from the Phong Nha Town People's Committee, 2019-2023.

146 **Data normalization**

147 The indicators were collected from different sources,  
148 such as questionnaires from household surveys and  
149 reports from the Phong Nha Town People’s Commit-  
150 tee in 2019-2023<sup>9</sup>, as indicated in Table 1. Because of  
151 different units, these data were required to normalize  
152 in the 0-1 scale range.

153 In this research, all indicators were normalized ac-  
154 cording to four methods. The detailed normalization  
155 results are also shown in Table 1. The first method  
156 is the calculation based on the percentage calcula-  
157 tion taken from the ecosocial report of the Phong  
158 Nha Town People’s Committee, including indicators  
159 S3 and S4. The second method is applied to the min-  
160 max data standardization theory (UNDP, 2006)<sup>22</sup> for  
161 quantitative indicators, as shown in Eq. 2, where the  
162 correlation function between the indicator and vul-  
163 nerability is a direct relationship for standardization.  
164 Indicators E1, E4, and S6 were calculated according to  
165 Eq. 2.

$$x_{ij} = \frac{X_{ij} - \min \{X_{ij}\}}{\max \{X_{ij}\} - \min (X_{ij})} \quad (2)$$

166 where  $x_{ij}$  is the normalized value of indicator  $i$  of  
167 household  $j$ ;  $X_{ij}$  is the value of indicator  $i$  correspond-  
168 ing to household  $j$ ; and the max and min values repre-  
169 sent each indicator’s maximum and minimum values,  
170 respectively.

171 The third method is for indicators coming from ques-  
172 tionnaires with households answering yes or no over  
173 the total number of households interviewed. In par-  
174 ticular, this method was applied for E3, E6, S2, S5,  
175 AC3-AC6, and AC9, with values of 1 and 0 corre-  
176 sponding to households’ yes or no answers, respec-  
177 tively. The final method is converting to a scale of 0-  
178 1 based on the scoring method applied for indicators  
179 E2, E5, S1, S7, AC1-AC3, AC7, and AC8.

180 **Assessment of the vulnerability of house-**  
181 **holds to floods**

182 The indicators of each component, E, S, and AC, were  
183 calculated for each residential group. The values of  
184 the components E, S, and AC of each residential group  
185 and the whole of Phong Nha town are the average val-  
186 ues of all indicators belonging to each component on  
187 a scale of 0-1. The vulnerability of each residential  
188 group and the whole town of Phong Nha to flood-  
189 ing were calculated using Eq. 3 as follows (IPCC,  
190 2007)<sup>13</sup>:

$$V = E + S - AC \quad (3)$$

The obtained vulnerability values on scales of -1 and  
+2 were then converted into the 0-1 scale by apply-  
ing Eq. 2. The exposure, sensitivity, adaptive capac-  
ity, and vulnerability on a scale of 0-1 were divided  
into 5 levels, namely, very high, high, medium, low,  
and very low, corresponding to 0.8-1.0, 0.6-0.8, 0.4-  
0.6, 0.2-0.4, and 0-0.2, respectively.

198 **RESULTS AND DISCUSSION**

199 **Exposure**

200 In the past 5 years, floods have occurred annually  
201 in the study area, and one of the most severe floods  
202 recorded was the October 2020 flood. This flood  
203 occurred continuously for many days in October,  
204 with heavy rainfall. The severity of the damage was  
205 recorded and is shown in Table 2. According to the  
206 Phong Nha Town People’s Committee (2020)<sup>23</sup>, the  
207 impacts included 4 deaths, 17 injuries, and extensive  
208 damage to residential structures, with 6 completely  
209 damaged houses. A total of 2,610 houses and 114  
210 school facilities were affected, with 42 houses need-  
211 ing to be evacuated in an emergency. Additionally,  
212 floods impacted the agriculture, forestry, and fisheries  
213 of Phong Nha town. A total of 43.7 ha of agricultural  
214 area was washed away, and 4369 animals died. The es-  
215 timated damage from this flood reached 20,878.2 mil-  
216 lion people. Figure 2 shows flood occurrence in the  
217 same house but in a different year and the evidence  
218 of flooding inside the house of a respondent in Phong  
219 Nha town.

220 Figure 3 shows the normalized values of E1, E3-E4,  
221 and the average of E5-E7 for each residential group  
222 in Phong Nha town. The frequency of floods impact-  
223 ing households in the past five years varies from 0.20  
224 to 0.75. Notably, Tram Me, Ha Loi, Xuan Tien, and  
225 Cu Lac 1 experienced the highest flood frequencies,  
226 with values of 0.75. The percentage of households im-  
227 pacted by floods in the last five years ranges from 0.40  
228 to 0.99 across residential areas. Na stands out with  
229 the highest percentage of affected households at 0.99,  
230 followed by Phong Nha and Cu Lac 1. The popula-  
231 tion density varies significantly among the residen-  
232 tial areas, ranging from 0.01 to 0.73. Xuan Son had  
233 the highest population density at 0.73, followed by Na  
234 and Phong Nha. The proportion of damaged house-  
235 holds during floods in October 2020 varied from 0.46  
236 to 0.95 across the 10 residential areas. Most areas ex-  
237 perience extensive damage, with Tram Me and Cu  
238 Lac 1 reporting values of 0.90 and 0.84, respectively.  
239 Additionally, 8/10 of the residential areas are close to  
240 the Son River within 1 km, leading to high values of  
241 E2. In contrast, Ban Rao Con had the lowest flood

**Table 2: Damages from floods in October 2020 in Phong Nha town**

Damages	Description	Quantity
People	Number of injured people	12
Houses	Number of damaged houses	6
	Number of flooded houses	2,610
	Number of houses need evacuating in emergency	42
Schools	Number of flooded facilities in schools	114
Hospitals	Number of flooded facilities in hospital	1
Culture house	Number of flooded facilities in culture house	8
Agriculture	Area of flooded plants (ha)	43.7
Livestock	Number of death of animals	4,369
Irrigation	Length of damaged dyke (m)	1002
Transportation	Length of damaged road (m)	1041
Fishery	Area of flooded (ha)	25.85
Water	Number of households lacking clean water to use	2,536
Estimated of damages (million dong)		20,878.2

Source: Phong Nha Town People’s Committee, 2020



**Figure 2:** Flood occurrences for the same house in 2020 (a\*) and 2022 (b\*) and evidence of a flood in the interviewed household in Phong Nha town (c). \*Noting that pictures a & b were provided by interview respondents

242 frequency, distance to the Son River, number of af- 254  
 243 fected households, population density, and number of 255  
 244 houses damaged by floods in October 2020. 256

245 The exposure values of E1-E7 for all of Phong Nha 257  
 246 town are shown in Figure 4. The flood frequency in 5 258  
 247 recent years is 0.58 (E1). A substantial 78% of house- 259  
 248 holds experienced flood damage (E3), indicating high 260  
 249 effects of floods within the community. Moreover, 261  
 250 with a value of 0.83 for E2, many households reside 262  
 251 near the Son River, increasing exposure to flood risks. 263  
 252 Although the population density is moderate at 0.51 264  
 253 (E4), it can exacerbate flood impacts, especially in 265

densely populated areas. The flood impact indicators 254  
 (E5-E7) of the October 2020 flood event provide valu- 255  
 able information on its consequences. During the Oc- 256  
 tober 2020 floods, 85% of houses were affected (E5), 257  
 underscoring the widespread impacts on residential 258  
 structures. Figure 5 shows the flood levels of houses in 259  
 Phong Nha town. Approximately 52% and 18% of the 260  
 residential houses were flooded at depths of 1-3 m and 261  
 above 3 m, respectively. Additionally, 80% of house- 262  
 holds faced clean water scarcity (E6) during floods, 263  
 emphasizing the importance of access to clean water 264  
 for postdisaster recovery. Additionally, 42% of live- 265

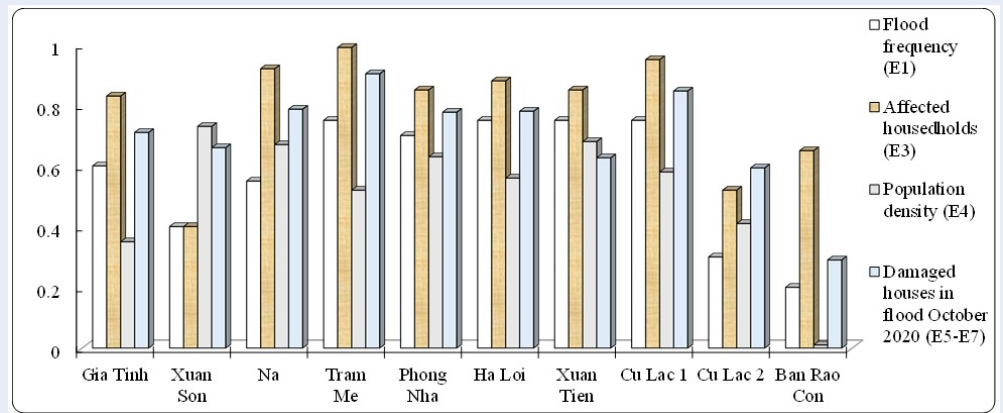


Figure 3: The normalized values of exposure indicators for 10 residential groups

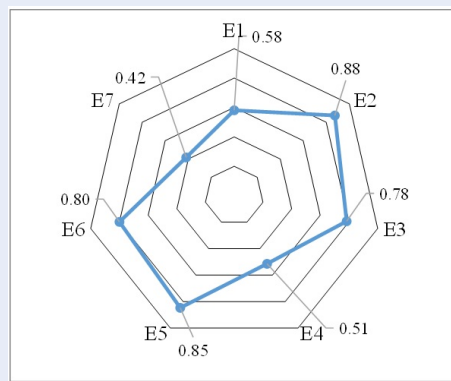


Figure 4: Exposure of Phong Nha town to flood hazard

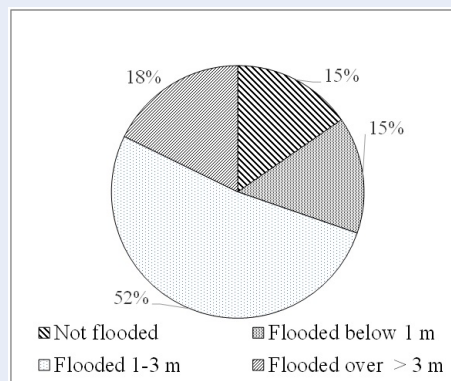


Figure 5: Submerged level of houses during the October 2020 flood (E5)

stock perished (E7), suggesting economic losses for households reliant on livestock. These findings collectively underscore the multifaceted nature of flood impacts on communities. The average value of the indicators of the exposure component (E) was 0.69, which indicates high exposure to floods.

### Sensitivity

Figure 6 shows the values of the sensitivity indicators S1-S7 for the 10 residential groups. The vulnerable group indicates the average values of 4 indicators of S1-S4, including children and elderly individuals, households with a third child, foreigners, and females. The results show that the Phong Nha and Xuan Tien residential groups stand out as having the most vulnerable objects. In particular, Phong Nha exhibits a notable value for foreigners (S1, 1.0) and a moderate value for vulnerable age groups (S1, 0.67). Regarding livelihood impacts, family members involved in agriculture (S5) in Tram Me and Na demonstrated the highest values of 0.91-0.92, highlighting a significant reliance on agricultural activities for livelihoods. There was a significant difference in income level among the 10 residential groups. Gia Tinh (S6, 0.44) and Na (S6, 0.41) exhibit a low proportion of households with incomes lower than the basic threshold; otherwise, Ban Rao Con (S6: 0.98) shows the highest value. Additionally, Ban Rao Con exhibits a notable presence of 0.81 single-story houses and wooden houses.

Figure 7 shows the sensitivity values of S1-S7 for Phong Nha town. The study results showed that 76% of households had children under five years old and above 65 years old. In addition, 75% of households had a third child in the family (S2). The study area



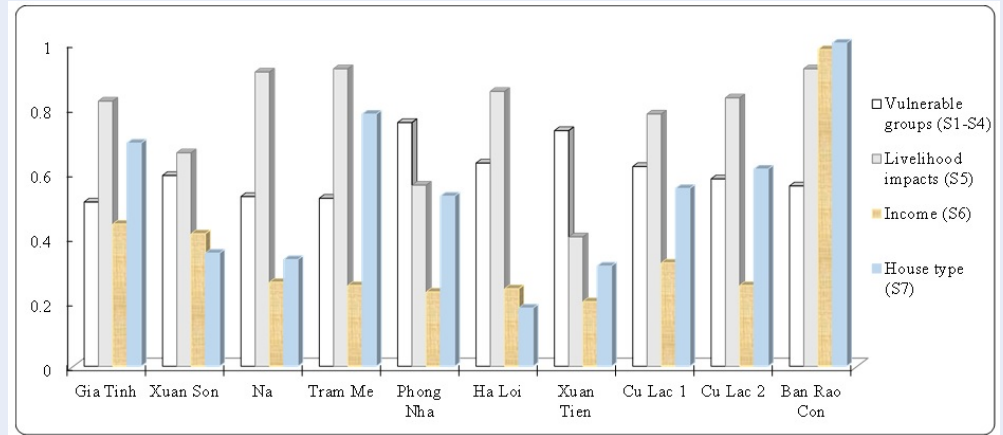


Figure 6: The normalized values of the sensitivity indicators for 10 residential groups in Phong Nha town

300 is one of the travel destinations. Therefore, foreigners  
 301 unfamiliar with this region's conditions should be  
 302 considered sensitive. Thirty-nine percent and 50% of  
 303 the population are foreigners (S3) and females (S4),  
 304 respectively. When a flood occurs, 77% of family  
 305 members involved in agriculture are susceptible to  
 306 rising water levels due to flooding. According to the  
 307 General Statistics Office of Vietnam (2022)<sup>24</sup>, the average  
 308 monthly income per person in urban areas over  
 309 five years from 2019 to 2023 was 5.7 million people.  
 310 Thirty-six percent of households had an income lower  
 311 than this basic value. Fifty-three percent of house-  
 312 holds lived in temporary and one-floor houses, which  
 313 are considerably vulnerable to flooding, as shown in  
 314 Figure 8. Note that 21% and 32% of households lived  
 315 in temporary and one-floor houses, respectively (S7).  
 316 Quantitative assessment of the S1-S7 indicators revealed  
 317 an average sensitivity component (S) value of  
 318 0.58, indicating moderate sensitivity.

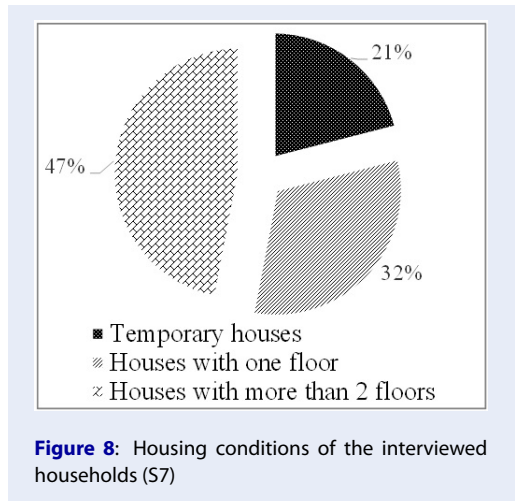


Figure 8: Housing conditions of the interviewed households (S7)

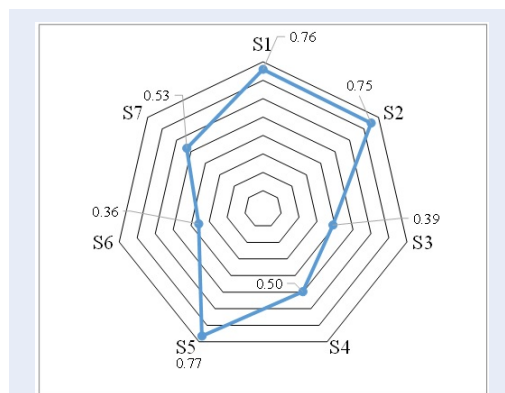


Figure 7: Sensitivity to flood of Phong Nha town

### Adaptive capacity

319 Figure 9 shows the values of the AC1-AC9 indicators  
 320 for 10 residential groups in Phong Nha town. The  
 321 values of almost all of these indicators indicate consid-  
 322 erable variation among the 10 residential groups, ex-  
 323 cept for AC5. Specifically, the AC1 (income source  
 324 diversity) and AC3 (preparedness for proactive re-  
 325 sponse) indicators in these areas vary from 0.12-0.62  
 326 and 0.1-0.95, respectively. Most residents in these areas  
 327 had limited participation in property insurance, so  
 328 the AC5 values in these areas were equally low. Xuan  
 329 Tien and Ha Loi demonstrated high adaptive values  
 330 of income source diversity, preparedness for proac-  
 331 tive response, education level, and emergency med-  
 332 ical access. Conversely, Ban Rao Con indicated a low  
 333 adaptive capacity of these criteria.  
 334

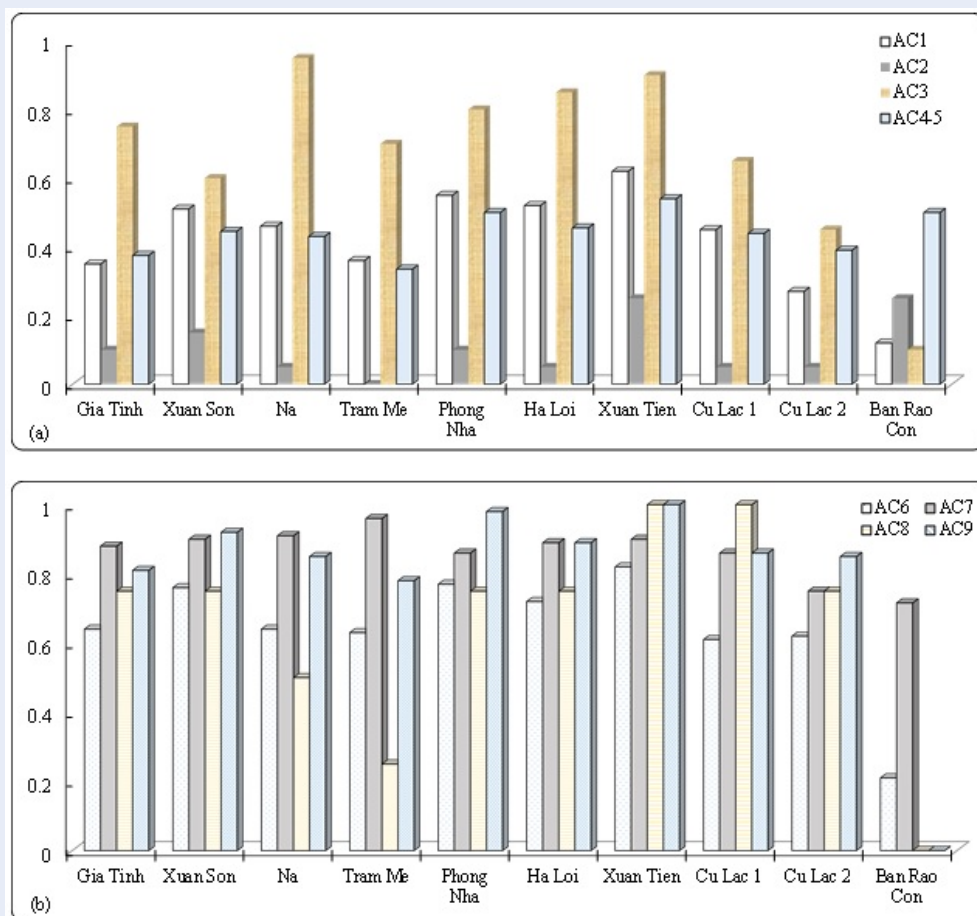


Figure 9: Values of the AC1-AC9 indicators for 10 residential groups in Phong Nha town

335 The adaptive capacity to flood all of Phong Nha town  
 336 is illustrated in Figure 10. Diversity of livelihood  
 337 was recognized by households possessing more than  
 338 2 livelihoods (see Figure 11), resulting in the value of  
 339 AC1 being 0.42. Eighty-nine percent of households  
 340 did not participate in annual disaster training courses,  
 341 which led to an AC2 value of 0.11. The survey re-  
 342 vealed that 85% of households participate in health  
 343 insurance (AC4); however, only 3% have property  
 344 insurance (AC5). In an emergency, 68% of house-  
 345 holds were equipped with disaster mitigation tools  
 346 such as food and water storage, flashlights, medicine,  
 347 and contact numbers (AC3); however, due to sub-  
 348 jective experience in response, some of these house-  
 349 holds are located far away and at high altitudes, so  
 350 they are not equipped with natural disaster prevention  
 351 tools. In addition, the interview results showed that  
 352 a low percentage of respondents (64%) had a degree  
 353 higher than a secondary education degree (AC6). It  
 354 was noted that 86% of residents had good experience

with floods (AC7). Up to 79% of households updated  
 their disaster information through television, radio,  
 and network societies (AC9). The average value of  
 the adaptive capacity component was 0.56, indicating  
 medium adaptive capacity.

### Vulnerability

The values of exposure (E), sensitivity (S), adaptive ca-  
 pacity (AC), and vulnerability (V) on a scale of 0-1 for  
 the 10 residential groups are summarized in Figure 12.  
 The E values of the residential groups of Gia Tinh, Na,  
 Tram Me, Phong Nha, Ha Loi, and Cu Lac 1 were sig-  
 nificantly greater than the AC values. In particular,  
 the AC value was approximately 2 times lower than  
 the E value in the Tram Me residential group. This  
 area also had a medium S value. Therefore, the great-  
 est vulnerability is 0.94 at scales of -1 and +2 accord-  
 ing to Eq. 3. After converting to a scale of 0-1, Tram  
 Me obtained a vulnerability value of 0.65, indicating  
 high vulnerability. The opposite trend was observed

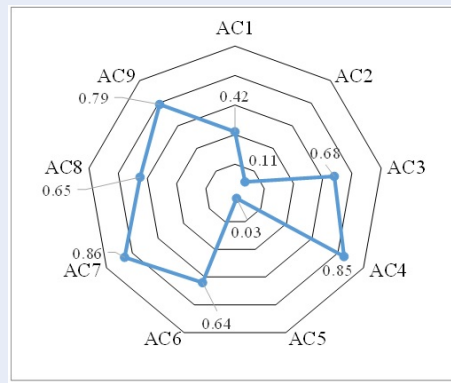


Figure 10: Adaptive capacity to flood of Phong Nha town

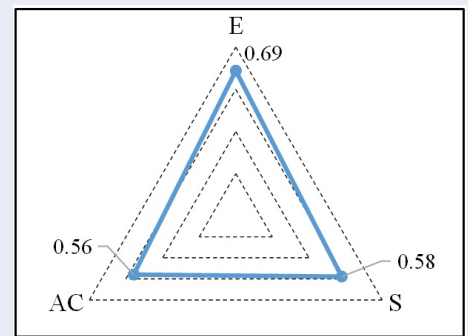


Figure 13: Vulnerability to floods in Phong Nha town

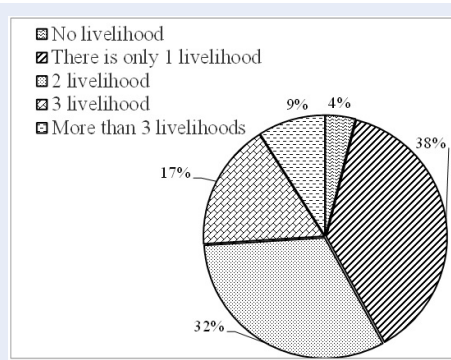


Figure 11: Diversity of livelihoods (AC1)

374 for the Ban Rao Con residential group, for which the  
 375 AC value was greater than the S value. Therefore, this  
 376 region exhibited a moderate vulnerability of 0.56. The  
 377 Xuan Tien residential group demonstrated the lowest  
 378 vulnerability at 0.55 because of the equal values of ex-  
 379 posure and adaptive capacity.

380 Figure 13 shows the values of exposure (E), sensitivity  
 381 (S), and adaptive capacity (AC) of households in the  
 382 study area to floods in 5 recent years (2019-2023) on  
 383 a 0-1 scale, which were 0.69, 0.58, and 0.56, respec-  
 384 tively. The indicator-based quantitative assessment  
 385 result indicated that the vulnerability value was 0.57  
 386 on a scale of 0-1, revealing a medium vulnerability  
 387 level. Despite the medium vulnerability of the whole  
 388 of Phong Nha Town to floods, it is still important to  
 389 enhance the adaptive capacity of the town in general,  
 390 especially for some residential groups in the town, es-  
 391 pecially those with high vulnerability, such as Tram  
 392 Me and Cu Lac 1.

### Discussion and recommendations

393

The results show that two residential groups, Tram Me  
 and Cu Lac 1, in Phong Nha town exhibited high vul-  
 nerability to floods despite the medium vulnerability  
 of the whole town. The findings from in-depth and  
 semistructured interviews reveal that residents have  
 accumulated experience dealing with frequent disas-  
 ters such as floods. However, in-depth interviews  
 with the vice president of Phong Nha town and of-  
 ficials indicate that this experience is insufficient in  
 response to different and unprecedented flood situa-  
 tions. The households live relatively close to the Son  
 River, resulting in many houses being flooded up to  
 the roof or inundation depths of 3-5 meters. Addi-  
 tionally, the prevalence of Type IV housing and tem-  
 porary structures within the study area exacerbated  
 the impacts and damage sustained during the flood  
 event. In addition, a lack of preparedness and readi-  
 ness, such as water storage tanks, backup generators,  
 participation in a training course on disasters, and  
 property insurance of households, were identified as  
 shortcomings of the study area.

The assessment of vulnerability among each residen-  
 tial group in Phong Nha town provides valuable in-  
 sights for local authorities and organizations, guiding  
 the design and implementation of interventions to re-  
 duce vulnerability to future flood events. The impor-  
 tance of enhancing adaptive capacity underscores the  
 need to strengthen resilience and minimize flood vul-  
 nerability. To reduce vulnerability within the study  
 area, the following actions are recommended: (1) re-  
 inforcing infrastructure and construction measures  
 capable of withstanding disasters, focusing on sup-  
 porting households with temporary shelters as well  
 as impoverished, vulnerable, veteran, and ethnic mi-  
 nority households; (2) implementing an early warning

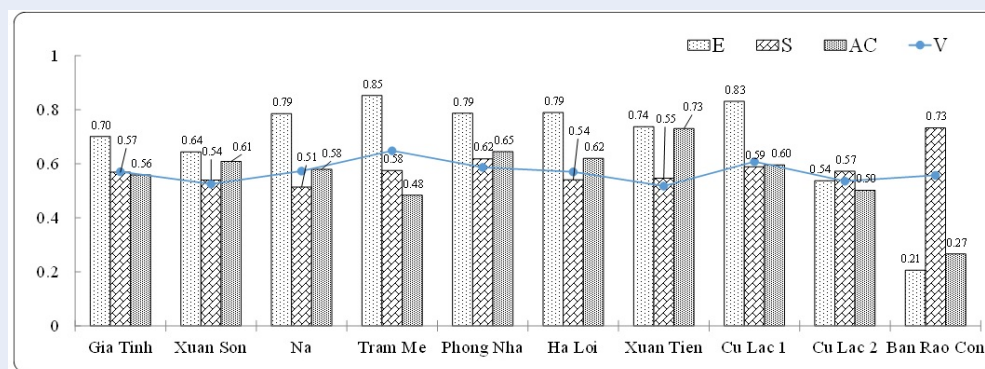


Figure 12: Values of exposure, sensitivity, adaptive capacity, and vulnerability for 10 residential groups

429 system to enhance response time to disasters; (3) en- 463  
 430 hancing community awareness and practices related 464  
 431 to disaster prevention; (4) encouraging participation 465  
 432 in training courses in disasters and buying property 466  
 433 insurance; and (5) providing vocational training to 467  
 434 create employment opportunities and increase liveli- 468  
 435 hood diversity for individuals during flood seasons, 469  
 436 such as English language training, handicraft work- 470  
 437 shops, photography, and souvenir making.

### 438 CONCLUSIONS

439 This study evaluated the vulnerability of households 471  
 440 to flood hazards for each residential group and all 472  
 441 of Phong Nha town, Bo Trach district, Quang Binh 473  
 442 Province. The three components of exposure (E), 474  
 443 sensitivity (S), and adaptive capacity (AC) had average 475  
 444 values of 0.69, 0.58, and 0.56, respectively, on a 476  
 445 scale of 0-1. The results showed that the household vul- 477  
 446 nerability was 0.57, indicating medium vulnerability to 478  
 447 floods. In addition, the two residential groups Tram 479  
 448 Me and Cu Lac 1 exhibited the highest vulnerabil- 480  
 449 ity indices of 0.65 and 0.61, respectively, indicating 481  
 450 high vulnerability to floods. Regarding the adaptive 482  
 451 capacity of the whole town, only 11% of respondents 483  
 452 actively participated in disaster prevention training, 484  
 453 and 3% had property insurance. These findings high- 485  
 454 light the need for tailored mitigation strategies to pre- 486  
 455 pare for disaster prevention and enhance resilience in 487  
 456 flood-prone communities.

### 457 CONFLICT OF INTEREST

458 The authors declare that there are no conflicts of in- 491  
 459 terest.

### 460 AUTHOR CONTRIBUTIONS

461 This study was designed by the authors Ta Thi Hoai 492  
 462 and Nguyen Tai Tue; Vu Thi Hai Yen collected data 493

464 from field trips and analyzed the data. Authors Ta Thi 465  
 466 Hoai, Vu Thi Hai Yen, Nguyen Tai Tue, and Nguyen 467  
 468 Thi Hoang Ha participated in writing and revising the 469  
 470 manuscript.

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